



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Attorney Docket No.: 3275.27US01

Yan et al.

Confirmation No.: 5733

Application No.: 10/082,603

Examiner: Pak, S.

Filed: February 22, 2002

Group Art Unit: 2874

For: FILTER RESPONSE OPTIMIZATION FOR AN ARRAYED WAVEGUIDE  
GRATING DEVICE BY ADJUSTING GRATING OPTICAL PATH LENGTH AT  
NANOMETER SCALE

---

NOTIFICATION OF CHANGE IN STATUS RESULTING IN  
LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS  
PURSUANT TO 37 C.F.R. § 1.27(g)(2)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant, through its attorney, hereby asserts that it is no longer eligible to claims small entity status in the above-referenced application.

Respectfully submitted,

Peter S. Dardi, Ph.D., Esq.  
Registration No. 39,650

Customer No. 62274  
Dardi & Associates, PLLC  
US Bank Plaza, Suite 2000  
220 South 6<sup>th</sup> Street  
Minneapolis, Minnesota 55402  
Telephone: (404) 949-5730

*Please grant any extension of time necessary for entry; charge any fee due to Deposit Account No.50-3863.*

CERTIFICATE OF MAILING

I hereby certify that this document is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

February 8, 2007  
Date of Deposit

  
Peter S. Dardi, Ph.D.



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Attorney Docket No.: 3275.27US01

Yan et al.

Confirmation No.: 5733

Application No.: 10/082,603

Examiner: Pak, S.

Filed: February 22, 2002

Group Art Unit: 2874

For: FILTER RESPONSE OPTIMIZATION FOR AN ARRAYED WAVEGUIDE  
GRATING DEVICE BY ADJUSTING GRATING OPTICAL PATH LENGTH AT  
NANOMETER SCALE

---

SUBMISSION OF SUBSTITUTE DRAWINGS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Original drawings were submitted for filing with the above-identified patent application.

Enclosed for filing are five (5) sheets (Figs.1-6) of substitute drawings.

Respectfully submitted,

Peter S. Dardi, Ph.D.  
Registration No. 39,650

Customer No. 62274  
Dardi & Associates  
US Bank Plaza, Suite 2000  
220 South 6<sup>th</sup> Street  
Minneapolis, Minnesota 55402  
Telephone: (404) 949-5730

*Please grant any extension of time necessary for entry; charge any fee due to Deposit Account No. 50-3863.*

CERTIFICATE OF MAILING

I hereby certify that this document is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

February 8, 2007

Date of Deposit

  
Peter S. Dardi, Ph.D.